



**OBSERVATORY FOR
SPORT IN SCOTLAND**

**CONSTITUTION
OF THE OBSERVATORY FOR SPORT IN SCOTLAND (OSS)**

CONSTITUTED 2016

SCVO Model SCIO Constitution (single-tier)

CONSTITUTION

of

Observatory for Sport in Scotland (OSS)

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GENERAL

Type of organisation

- 1 The organisation will, upon registration, be a Scottish Charitable Incorporated Organisation (SCIO).

Scottish principal office

- 2 The principal office of the organisation will be in Scotland (and must remain in Scotland).

Name

- 3 The name of the organisation is "Observatory for Sport in Scotland (OSS)".

Purposes

- 4 The organisation's purposes are to undertake, or commission, original research in order to produce data and analysis which will promote sport as a force for the improvement of all aspects of life. Potential benefits will be the positive impact on health and well being, gender and race relations, education and social welfare and making contribution to civic society and the wealth of the nation.
- 5 Based on its objective research and analysis the organisation will drive open, on-going and transparent debate and decision-making aimed to improving sporting opportunities across Scotland
- 6 The organisation will:
 - 6.1 Through its research, develop an evidence base regarding the impact of sports policy initiatives in Scotland and internationally, in particular to focus on community sport participation
 - 6.2 Use its research and analysis to inform, initiate and analyse public debate on key issues impacting on sport in Scotland
 - 6.3 Establish a pool of knowledge and general overview of academic and other sports research, both nationally and internationally based on existing and original research.
 - 6.4 Share and disseminate the pool of knowledge and research widely, including using the OSS website, publications and social media.

Powers

- 7 The organisation has power to do anything, which is calculated to further its purposes or is conducive or incidental to doing so.
- 8 No part of the income or property of the organisation may be paid or transferred (directly or indirectly) to the charity trustees - either in the course of the organisation's existence or on dissolution - except where this is done in direct furtherance of the organisation's purposes.

Liability of charity trustees

- 9 The Charity Trustees of the organisation (in their capacity as Members - see clause 12) have no liability to pay any sums to help to meet the debts (or other liabilities) of the organisation if it is wound up; accordingly, if the organisation is unable to meet its debts, the charity trustees will not be held responsible.
- 10 The Charity Trustees have certain legal duties under the Charities and Trustee Investment (Scotland) Act 2005; and clause 7 does not exclude (or limit) any personal liabilities they might incur if they are in breach of those duties or in breach of other legal obligations or duties that apply to them personally.

General structure

- 11 The structure of the organisation consists of the Board - who hold regular meetings, and generally control the activities of the organisation; for example, the Board is responsible for monitoring and controlling the financial position of the organisation. In addition the Working Group will support the OSS staff and Board and the Research Advisory Board will support research activity.
- 12 The people serving on the Board are de facto Charity Trustees and will be referred to as such in this Constitution. They are *also* the Members of the organisation for the purposes of the Charities and Trustee Investment (Scotland) Act 2005.
- 13 Under the provisions of this constitution, no-one can be a Member unless he/she is also a Charity Trustee of the organisation.
- 14 The Board make appointments to fill vacancies and have power to make changes to the Constitution itself.
- 15 The Charities and Trustee Investment (Scotland) Act 2005 requires certain decisions to be taken by the Charity Trustees in their capacity as members of the organisation.

BOARD

Number of charity trustees

- 16 The maximum number of Board Members is 12.
- 17 The minimum number of Board Members is 3.

Eligibility

- 18 A person will not be eligible for election or appointment to the Board if he/she is: -
 - 18.1 Disqualified from being a Charity Trustee under the Charities and Trustee Investment (Scotland) Act 2005; or
 - 18.2 An employee of the organisation.

Initial charity trustees

- 19 The Board Members who signed the Charity Trustee declaration forms, which accompanied the application for incorporation of the organisation shall be deemed to have been appointed by the members as Charity Trustees with effect from the date of incorporation of the organisation.

Appointment/retiral

- 20 The Board may at any time appoint new Members- by way of a resolution passed by majority vote at a Board meeting
- 21 The term of office of a Board Member is three years
- 22 In order to stagger the retirement/appointment/re-appointment of Board Members, at the first AGM one third will be appointed for one year, one third for two years and one third for three years.
- 23 A Board Member vacating office at the conclusion of an AGM will be deemed to have been re-elected at the board meeting which next follows unless:
- 24 A Board Member will automatically cease to hold office if: -
 - 24.1 He/she becomes disqualified from being a Charity Trustee under the Charities and Trustee Investment (Scotland) Act 2005;
 - 24.2 He/she becomes incapable for medical reasons of carrying out his/her duties as a charity trustee - but only if that has continued (or is expected to continue) for a period of more than six months;
 - 24.3 He/she becomes an employee of the organisation;
 - 24.4 He/she gives the organisation a notice of resignation, signed by him/her;

- 24.5 He/she is absent (without good reason, in the opinion of the board) from more than three consecutive meetings of the board - but only if the board resolves to remove him/her from office;
 - 24.6 He/she is removed from office by resolution of the board on the grounds that he/she is considered to have committed a material breach of the code of conduct for charity trustees (as referred to in clause 40);
 - 24.7 He/she is removed from office by resolution of the board on the grounds that he/she is considered to have been in serious or persistent breach of his/her duties under section 66(1) or (2) of the Charities and Trustee Investment (Scotland) Act 2005; or
- 25 A resolution under paragraph 24.6 or 24.7 shall be valid only if: -
- 25.1 The Board Member who is the subject of the resolution is given reasonable prior written notice of the grounds upon which the resolution for his/her removal is to be proposed;
 - 25.2 The Board Member concerned is given the opportunity to address the meeting at which the resolution is proposed, prior to the resolution being put to the vote; and
 - 25.3 At least two thirds (to the nearest round number) of the Board vote in favour of the resolution.

Register of charity trustees

- 26 The Board must keep a Register of Members (Charity Trustees), setting out
- 26.1 For each current Board Member:
 - 26.1.1 His/her full name and address;
 - 26.1.2 The date on which he/she was appointed; and
 - 26.1.3 Any office held by him/her in the organisation;
 - 26.2 For each former Board Member - for at least 6 years from the date on which he/she ceased to be a Member:
 - 26.2.1 The name of the Board Member;
 - 26.2.2 Any office held by him/her in the organisation; and
 - 26.2.3 The date on which he/she ceased to be a Member.
- 27 The Board must ensure that the Register is updated within 28 days of any change:

27.1 which arises from a resolution of the board; or

27.2 which is notified to the organisation.

28 If any person requests a copy of the Register, the Board must ensure that a copy is supplied to him/her within 28 days, providing the request is reasonable; if the request is made by a person who is not a charity trustee of the organisation, the Board may provide a copy which has the addresses blanked out - if it is satisfied that including that information is likely to jeopardise the safety or security of any person or premises.

Office Bearers

29 The Board Members must elect (from among themselves) a Chair, a Treasurer and a Secretary.

30 In addition to the office-bearers required under clause 29, the Board may elect (from among themselves) further office-bearers if they consider that appropriate.

31 All of the office-bearers will cease to hold office at the conclusion of each AGM, but may then be re-elected under clause 29 or 30.

32 A person elected to any office will automatically cease to hold that office: -

32.1 If he/she ceases to be a Board Member; *or*

32.2 If he/she gives a notice of resignation from that office, signed by him/her.

Powers of the Board

33 Except where this Constitution states otherwise, the organisation and its assets and operations, will be managed by the Board and the board may exercise all the powers of the organisation.

34 A meeting of the Board, at which a quorum is present, may exercise all powers exercisable by the board.

Board Members - general duties

35 Each Board Member has a duty, in exercising functions as a Charity Trustee, to act in the interests of the organisation; and, in particular, must:-

35.1 Seek, in good faith, to ensure that the organisation acts in a manner which is in accordance with its purposes;

35.2 Act with the care and diligence which it is reasonable to expect of a person who is managing the affairs of another person;

- 35.3 In circumstances giving rise to the possibility of a conflict of interest between the organisation and any other party: -
- 35.3.1 Put the interests of the organisation before that of the other party;
 - 35.3.2 Where any other duty prevents him/her from doing so, disclose the conflicting interest to the organisation and refrain from participating in any deliberation or decision of the other charity trustees with regard to the matter in question;
- 35.4 Ensure that the organisation complies with any direction, requirement, notice or duty imposed under or by virtue of the Charities and Trustee Investment (Scotland) Act 2005.
- 36 In addition to the duties outlined in clause 35, all of the Board Members must take such steps as are reasonably practicable for the purpose of ensuring: -
- 36.1 That any breach of any of those duties by a Board Member is corrected by the Board Member concerned and not repeated; and
 - 36.2 That any Board Member who has been in serious and persistent breach of those duties is removed as a Member.
- 37 Provided he/she has declared his/her interest - and has not voted on the question of whether or not the organisation should enter into the arrangement – a Board Member will not be debarred from entering into an arrangement with the organisation in which he/she has a personal interest; and (subject to clause 38 and to the provisions relating to remuneration for services contained in the Charities and Trustee Investment (Scotland) Act 2005) he/she may retain any personal benefit which arises from that arrangement.
- 38 No Board Member may serve as an employee (full time or part time) of the organisation; and no charity trustee may be given any remuneration by the organisation for carrying out his/her duties as a charity trustee.
- 39 The Board Members may be paid all travelling and other expenses reasonably incurred by them in connection with carrying out their duties; this may include expenses relating to their attendance at meetings.

Code of conduct for Board Members

- 40 Each of the Board Member shall comply with the code of conduct (incorporating detailed rules on conflict of interest) prescribed by the Board from time to time.

- 41 The code of conduct referred to in clause 40 shall be supplemental to the provisions relating to the conduct of Board Members contained in this Constitution and the duties imposed on charity trustees under the Charities and Trustee Investment (Scotland) Act 2005; and all relevant provisions of this Constitution shall be interpreted and applied in accordance with the provisions of the code of conduct in force from time to time.

DECISION-MAKING BY THE CHARITY TRUSTEES

Notice of board meetings

- 42 At least 14 days' notice must be given of each Board Meeting.

Procedure at Board Meetings

- 43 Board Meeting participation can be in person or by video link or skype.
- 44 No valid decisions can be taken at a Board Meeting unless a quorum is present; the quorum for Board Meetings is 4.
- 45 If at any time the number of Board Members in office falls below the number stated as the quorum, the remaining Board Members will have power to fill the vacancies or call a members' meeting - but will not be able to take any other valid decisions.
- 46 The Chair should act as Chairperson of each Board Meeting.
- 47 If the Chair is not present within 15 minutes after the time at which the meeting was due to start (or is not willing to act as Chairperson), the Board Members present at the meeting must elect (from among themselves) the person who will act as Chairperson of that meeting.
- 48 Every Board Member has one vote, which must be given personally.
- 49 All decisions at Board Meetings will be made by majority vote.
- 50 If there is an equal number of votes for and against any resolution, the Chairperson of the meeting will be entitled to a second (casting) vote.
- 51 The Board may, at its discretion, allow any person to attend and speak at a Board Meeting notwithstanding that he/she is not a Member - but on the basis that he/she must not participate in decision-making.
- 52 A Board Member must not vote at a Board Meeting (or at a meeting of a sub-committee) on any resolution which relates to a matter in which he/she has a personal interest or duty which conflicts (or may conflict) with the interests of the organisation; he/she must withdraw from the meeting while an item of that nature is being dealt with.

- 53 For the purposes of clause 52: -
- 53.1 An interest held by an individual who is “connected” with the Board Member under section 68(2) of the Charities and Trustee Investment (Scotland) Act 2005 (husband/wife, partner, child, parent, brother/sister etc) shall be deemed to be held by that Board Member;
- 53.2 A Board Member will be deemed to have a personal interest in relation to a particular matter if a body in relation to which he/she is an employee, director, member of the management committee, officer or elected representative has an interest in that matter.

Minutes

- 54 The Board must ensure that proper Minutes are kept in relation to all Board Meetings and meetings of sub-committees.
- 55 The Minutes to be kept under clause 54 must include the names of those present; and be approved at the next meeting.
- 56 The Board shall (subject to clause 57) make available copies of the minutes referred to in clause 54 to any member of the public requesting them.
- 57 The board may exclude from any Minutes made available to a member of the public under clause 56 any material which the Board considers ought properly to be kept confidential - on the grounds that allowing access to such material could cause significant prejudice to the interests of the organisation or on the basis that the material contains reference to employee or other matters which it would be inappropriate to divulge.

DECISION-MAKING BY THE CHARITY TRUSTEES - IN THEIR CAPACITY AS MEMBERS

- 58 For certain purposes of the Charities and Trustee Investment (Scotland) Act 2005, the Charity Trustees make decisions in their capacity as *members* of the organisation, rather than as a Board; the provisions of clauses 59 to 76 relate to those situations.

Annual General Meetings

- 59 The Board must convene a meeting in each calendar year; that meeting will be called an Annual General Meeting or "AGM".
- 60 The gap between one AGM and the next must not be longer than 15 months.
- 61 Notwithstanding clause 59, an AGM does not need to be held during the calendar year in which the organisation is formed; but the first

AGM must still be held within 15 months of the date on which the organisation is formed.

- 62 The business of each AGM must include:-
- 62.1 A report by the Chair on the activities of the organisation; and
 - 62.2 Consideration of the future strategy for the organisation, including a review of key risks and opportunities.
- 63 The Board may convene any other meeting at any time.

Notice of Board Meetings

- 64 At least 14 clear days' notice must be given of any AGM or any other Board meeting.
- 65 The notice calling a meeting must specify in general terms what business is to be dealt with at the meeting; and, in the case of a resolution to alter the constitution, must set out the exact terms of the proposed alteration(s).
- 66 The reference to "clear days" in clause 64 shall be taken to mean that, in calculating the period of notice,
- 66.1 The day after the notices are posted (or sent by e-mail) should be excluded; and
 - 66.2 The day of the meeting itself should also be excluded.

Voting at members' meetings

- 67 Every Board Member shall have one vote in his/her capacity as a member, which must be given personally.
- 68 All decisions at meetings will be made by majority vote - with the exception of the types of resolution listed in clause 69.
- 69 The following resolutions will be valid only if passed by not less than two thirds of those voting on the resolution at a Board meeting (or if passed by way of a written resolution under clause 73):
- 69.1 A resolution amending the constitution;
 - 69.2 A resolution approving the amalgamation of the organisation with another SCIO (or approving the constitution of the new SCIO to be constituted as the successor pursuant to that amalgamation);

- 69.3 A resolution to the effect that all of the organisation's property, rights and liabilities should be transferred to another SCIO (or agreeing to the transfer from another SCIO of all of its property, rights and liabilities);
- 69.4 A resolution for the winding up or dissolution of the organisation.
- 70 If there is an equal number of votes for and against any resolution, the Chairperson of the meeting will be entitled to a second (casting) vote.
- 71 A resolution put to the vote at a Board meeting will be decided on a show of hands - unless the Chairperson (or at least two other members present at the meeting) ask for a secret ballot.
- 72 The Chairperson will decide how any secret ballot is to be conducted, and he/she will declare the result of the ballot at the meeting.

Written resolutions

- 73 A resolution agreed to in writing (or by e-mail) by all the Board Members, will be as valid as if it had been passed at a Board Meeting; the date of the resolution will be taken to be the date on which the last Board Member agreed to it.

Minutes

- 74 The Board must ensure that proper Minutes are kept in relation to all meetings.
- 75 Minutes of meetings must include the names of those present; and approved at the next meeting.
- 76 [The Board shall make available copies of the Minutes referred to any member of the public requesting them; but on the basis that the Board may exclude confidential material to the extent permitted under clause 57.]

ADMINISTRATION

Delegation to sub-committees

- 77 The Board may delegate any of their powers to sub-committees; a sub-committee must include at least one Board Member.
- 78 The Board may also delegate to the Chair (or the holder of any other post) such of their powers as they may consider appropriate.
- 79 When delegating powers, the Board must set out appropriate conditions (which must include an obligation to report regularly to the board).

- 80 Any delegation of powers may be revoked or altered by the board at any time.
- 81 The rules of procedure for each sub-committee and the provisions relating to membership of each sub-committee, shall be set by the Board.

Operation of accounts

- 82 Subject to clause 81, the signatures of two out of three signatories appointed by the Board will be required in relation to all operations (other than the lodging of funds) on the bank and building society accounts held by the organisation; at least one out of the two signatures must be the signature of a Board Member.
- 83 Where the organisation uses electronic facilities for the operation of any bank or building society account, the authorisations required for operations on that account must be consistent with the approach reflected in clause 82.

Accounting records and annual accounts

- 84 The Board must ensure that proper accounting records are kept, in accordance with all applicable statutory requirements.
- 85 The board must prepare Annual Accounts, complying with all relevant statutory requirements; if an audit is required under any statutory provisions (or if the Board consider that an audit would be appropriate for some other reason), the Board should ensure that an audit of the accounts is carried out by a qualified auditor.

MISCELLANEOUS

Winding-up

- 86 If the organisation is to be wound up or dissolved, the winding-up or dissolution process will be carried out in accordance with the procedures set out under the Charities and Trustee Investment (Scotland) Act 2005.
- 87 Any surplus assets available to the organisation immediately preceding its winding up or dissolution must be used for purposes which are the same as - or which closely resemble - the purposes of the organisation as set out in this constitution.

Alterations to the Constitution

- 88 This Constitution may (subject to clause 89) be altered by resolution of the Board - in their capacity as members of the organisation - passed at a Board Meeting (subject to achieving a two thirds majority or by way of a written resolution of the charity trustees in their capacity as members.

- 89 The Charities and Trustee Investment (Scotland) Act 2005 prohibits taking certain steps (e.g. change of name, an alteration to the purposes, amalgamation, winding-up) without the consent of the Office of the Scottish Charity Regulator (OSCR).

Interpretation

- 90 References in this constitution to the Charities and Trustee Investment (Scotland) Act 2005 should be taken to include: -

90.1 Any statutory provision which adds to, modifies or replaces that Act; and

90.2 Any statutory instrument issued in pursuance of that Act or in pursuance of any statutory provision falling under paragraph 90.1 above.

- 91 In this Constitution: -

91.1 “charity” means a body which is either a “Scottish charity” within the meaning of section 13 of the Charities and Trustee Investment (Scotland) Act 2005 or a “charity” within the meaning of section 1 of the Charities Act 2011, providing (in either case) that its objects are limited to charitable purposes;

91.2 “charitable purpose” means a charitable purpose under section 7 of the Charities and Trustee Investment (Scotland) Act 2005 which is also regarded as a charitable purpose in relation to the application of the Taxes Acts.